

technical trends

TECHNICAL TRENDS

(Sole Proprietorship of Sudarshan Sukhani)

Research Analyst

SEBI Registration No. INH100000726

In order to facilitate investor awareness about various activities which an investor deals with while availing the services provided by research analysts, SEBI has developed an Investor Charter for Research Analysts. This Charter is a brief document containing details of services provided to investors, their rights, dos and don'ts, responsibilities, investor grievance handling mechanism and estimated timelines thereof etc., at one single place, in a lucid language, for ease of reference.

As advised in the Circular No. SEBI/HO/IMD/IMD-II CIS/P/CIR/2021/0685 Dated December 13, 2021, we bring to the notice of our users the Investor Charter as provided at **Annexure - A**.

Additionally, all complaints including SCORES complaints, if any received by us are compiled in the Complaint Data as **Annexure - B**.

ANNEXURE- A

INVESTOR CHARTER

A. Vision and Mission Statements for investors.

- Vision: Invest with knowledge & safety.
- Mission: Every investor should be able to invest in right investment products based on their needs, manage and monitor them to meet their goals, access reports and enjoy financial wellness.

B. Details of business transacted by the Research Analyst with respect to the investors.

- To publish research report based on the research activities of the RA.
(M/s technical trends is a sole proprietorship of Sudarshan Sukhani who is a SEBI registered Research Analyst (SEBI registration no. INH100000726), provides stock market advisory services only, to our clients, through the web and mobile apps by way of charging subscription fees. Our role is limited to identifying suitable opportunities and communicating the same to clients. In any circumstances we do not manage any funds on behalf of clients, order placement or offer any other services of any nature.)
- To provide an independent unbiased view on securities.

(With regard to independent and unbiased view, we affirm that Research analyst or his associates:

(a)Have not received any compensation from the recommended company in the past twelve months.

(b)Have not managed or co-managed public offering of securities for the recommended company in the past twelve months.

(c)Have not received any compensation for investment banking or merchant banking or brokerage services from the recommended company in the past twelve months;

(d) Have not received any other compensation for products or services from the recommended company in the past twelve months.

(e)Have not received any compensation or other benefits from the recommended company or third party in connection with this research report.

(f)The recommended company is or was a client during twelve months preceding the date of distribution of the research report.

The research analyst has not served as an officer, director or employee of the recommended company.

The research analyst has not been engaged in market making activity for the recommended company.

As specified by the Board under any other regulations, all the necessary disclosures have been provided.

Users are advised to visit detailed Disclosures section given in the product.)

- To offer unbiased recommendation, disclosing the financial interests in recommended securities.

(In the product – disclosures sections, we have disclosed all material information as instructed in clause 19 of Securities And Exchange Board Of India (Research Analysts) Regulations, 2014 about the Research Analyst including details of associates and such other information as is necessary to take an investment decision, including the ownership and material conflicts of interest in recommended securities in detail.

We disclose here again that that there is no financial interest in recommended securities and actual/beneficial ownership of one per cent or more, any other material conflict of interest of any kind at the time of publication of the research reports.

Users are advised to visit detailed Disclosures section given in the product.)

- We provide research recommendation, based on analysis of publicly available information and known observations.
- We also conduct audit annually in respect of compliances as specified in RA Regulations from a member of recognized institutes.

C. Details of services provided to investors (No Indicative Timelines)

- Onboarding of Clients
(We provide research services only, to our clients, through the web and mobile apps by way of charging subscription fees. Our role is limited to identifying suitable opportunities and communicating the same to clients.)
- Disclosure to Clients
To distribute research reports and recommendations to the clients without discrimination:
(We affirm that all the information including recommendations given in our products is available to every user without any discrimination.)

To maintain confidentiality w.r.t publication of the research report until made available in the public domain:
(We further affirm that we maintain complete confidentiality with respect to publication of research report until made available in the public domain.)

D. Details of grievance redressal mechanism and how to access it

We understand the importance of an effective and expeditious resolution of complaints raised by the users using the products and services offered by the firm. To address the issues of user, we have implemented the Grievance Redressal Mechanism. The process to address the issues, complaints or grievances is mentioned hereunder:

Whilst all efforts are taken to give the Users the best services to avoid any issues, the Users are informed that they can raise their complaints, if any, in writing. It is expected that the complaint shall contain specific issue or challenges faced. Any ambiguous, vague or anonymous complaints may not be entertained.

Users can write to us at support@technicaltrends.in for complaints related to the Service. Any complaint through email shall be acknowledged promptly after receipt. All complaints received by email shall be sent from the registered email id of the User, as available on the firm's records. To determine authenticity, we may ask other particulars like name, registered mobile number, client id etc, if required.

If the User is not satisfied with the resolution received from above channels, or if the User does not hear from us in two weeks, the User can escalate the grievance to the concerned Officer at online@technicaltrends.in or send correspondence to the below mentioned address:

Name: Saurabh
Concerned Officer (Grievance Redressal)
Technical Trends
E-364, Greater Kailash Part-2, Delhi, 110048
Contact: 011-41435611

The Concerned Officer shall promptly and no later than thirty days from the date of receipt of the grievance, take necessary steps to resolve the grievance. If no further communication is received from the User, upon receiving a response from us regarding their complaint/grievance, within the next ten days, the grievance shall be treated as satisfied & closed.

If the investor's complaint is not redressed satisfactorily, one may lodge a complaint with SEBI on SEBI's SCORES portal (<https://scores.gov.in/scores/Welcome.html>) which is a centralized web based complaints redressal system. SEBI takes up the complaints registered via SCORES with the concerned intermediary for timely redressal. SCORES facilitates tracking the status of the complaint.

With regard to physical complaints, investors may send their complaints to:

Office of Investor Assistance and Education,
Securities and Exchange Board of India,
SEBI Bhavan. Plot No. C4-A, 'G' Block,
Bandra-Kurla Complex, Bandra (E),
Mumbai - 400 051.

E. Expectations from the investors (Responsibilities of investors).

Do's

- i. Always deal with SEBI registered Research Analyst.
- ii. Ensure that the Research Analyst has a valid registration certificate.
- iii. Check for SEBI registration number.
- iv. Please refer to the list of all SEBI registered Research Analysts which is available on SEBI website in the following link:
<https://www.sebi.gov.in/sebiweb/other/OtherAction.do?doRecognisedFpi=yes&intmId=14>
- v. Always pay attention towards disclosures made in the research reports before investing.
- vi. Pay your Research Analyst through banking channels only and maintain duly signed receipts mentioning the details of your payments.
- vii. Before buying securities or applying in public offer, check for the research recommendation provided by your research Analyst.
- viii. Ask all relevant questions and clear your doubts with your Research Analyst before acting on the recommendation.
- ix. Inform SEBI about Research Analyst offering assured or guaranteed returns.

Don'ts

- i. Do not provide funds for investment to the Research Analyst.
- ii. Don't fall prey to luring advertisements or market rumours.
- iii. Do not get attracted to limited period discount or other incentive, gifts, etc. offered by Research Analyst.
- iv. Do not share login credentials and password of your trading and demat accounts with the Research Analyst.

ANNEXURE- B**COMPLAINT DATA**

(Investors complaints data monthly disclosures as prescribed in Circular No. SEBI/HO/IMD/IMD-II CIS/P/CIR/2021/0685 Dated December 13, 2021)

1. Data for the month ending - (July, 2022):

Sr. No	Received from	Pending at the end of last month	Received	Resolved*	Total Pending #	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL
3	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL	NIL	NIL

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

2. Trend of monthly disposal of complaints:

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Total Pending#
1	April, 2021	NIL	NIL	NIL	NIL
2	May, 2021	NIL	NIL	NIL	NIL
3	June, 2021	NIL	NIL	NIL	NIL
4	July, 2021	NIL	NIL	NIL	NIL
5	August, 2021	NIL	NIL	NIL	NIL
6	September, 2021	NIL	NIL	NIL	NIL
7	October, 2021	NIL	NIL	NIL	NIL
8	November, 2021	NIL	NIL	NIL	NIL
9	December, 2021	NIL	NIL	NIL	NIL
10	January, 2022	NIL	NIL	NIL	NIL

11	February, 2022	NIL	NIL	NIL	NIL
12	March, 2022	NIL	NIL	NIL	NIL
13	April 2022	NIL	NIL	NIL	NIL
14	May 2022	NIL	NIL	NIL	NIL
15	June 2022	NIL	NIL	NIL	NIL
16	July 2022	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

3. Trend of annual disposal of complaints:

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Total Pending#
1	2018-19	NIL	NIL	NIL	NIL
2	2019-20	NIL	NIL	NIL	NIL
3	2020-21	NIL	NIL	NIL	NIL
4	2021-22	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.